

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Williamson, Charles, G.

Application No. 10/001,253

Filed: November 1, 2001

For: Intelligent Household Networked Appliances

Paula M. Theismann

Group Art Unit: 2171

Examiner: Not yet assigned

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Paula M. Theismann

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 JUN 2 1 2004

**Technology Center 2100** 

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

## IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

Transmittal of Information Disclosure Statement Application No. 10/001,253 Page 2

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Respectfully submitted,

Dated: June 1/2004

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of

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Complete if Known				
Application Number	10/001,253			
Filing Date	November 1, 2001			
First Named Inventor	WILLIAMSON, Charles, G.			
Group Art Unit	2171			
Examiner Name	Not yet assigned			
Attorney Docket Number	09741620-0209			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	A1	US-5,845,991	12/08/1998	Sundquist, J			
	A2	US-6,486,453	11/26/2002	Bales, M. E. et al.			
	A3	US-6,549,818	04/15/2003	Ali, M. A.			
	A4	US-6,609,821	08/26/2003	Wulf, J. D.et al.			
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		FOREICI	N PATENT DOC	LIMENTO		
Examiner Initials*	Cite No.1	Foreign Patent Number  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
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Examir	ner			Date		
Signature				Considered	ı	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unque citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file ( and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**ATTORNEY DOCKET NO. 09741620-0209** 

**GROUP ART UNIT: 2171** 

**EXAMINER:** Not yet assigned

PLICANT: ÉRIAL NO. FILING DATE: INVENTION:

10/001,253 November 1, 2001

Williamson, Charles, G.

Intelligent Household Networked Appliances

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop Amendment Comm. For Patents Alexandria, VA 22313-1450

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

$\boxtimes$	Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
	After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.  Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or  The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
	After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but <b>before</b> payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
	The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
	The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
	Payment by Credit Card. PTO Form 2038 in the amount of \$180.00 is enclosed.
$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. A duplicate copy of this sheet is enclosed for this purpose.

Very respectfully,

SONNENSCHEIN NATH & ROSENTHAL LLP

JUN 2 1 2004

**Technology Center 2100** 

When phoning re this application, please call (312) 876-8000

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**CERTIFICATE OF MAILING** 

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 via first class mail on

Paula M. Theismann